

## ESR Study of Active Oxygen Radicals from Photoexcited Semiconductors Using the Spin-Trapping Technique

Hiroyuki NODA,\* Kazuo OIKAWA,† and Hitoshi KAMADA

Division of Life Support Technology, Yamagata Technopolis Foundation,  
683 Kurumanomae, Numagi, Yamagata 990

† Yamagata Research Institute of Technology,  
683 Kurumanomae, Numagi, Yamagata 990

(Received April 27, 1992)

The ESR spin-trapping technique was used to detect active oxygen radicals by photoexcitation of powdery semiconductors ( $\text{TiO}_2$ ,  $\text{WO}_3$ ,  $\text{CdS}$ , and  $\text{Fe}_2\text{O}_3$ ) in water and aqueous  $\text{H}_2\text{O}_2$  solutions using 5,5-dimethyl-1-pyrroline *N*-oxide (DMPO) as spin trap. Superoxide ion ( $\text{O}_2^-$ ), hydroperoxyl radical ( $\cdot\text{O}_2\text{H}$ ) and hydroxyl radical ( $\cdot\text{OH}$ ) were detected under photoexcitation. The mechanism for the generation of active oxygen radicals is discussed referring to the energy band structures of the semiconductors and the redox potentials of the oxygen radicals.

Powdery semiconductors ( $\text{TiO}_2$ ,  $\text{CdS}$ ,  $\text{WO}_3$ ,  $\text{Fe}_2\text{O}_3$ , etc.) are used for photosynthetic and photocatalytic reactions,<sup>1-5)</sup> and many papers have been published on the photocatalysis on powdery  $\text{TiO}_2$  exhibiting strong oxidation capability.<sup>6-10)</sup> Biological use of  $\text{TiO}_2$  has also been attempted: Kamioka et al.<sup>11)</sup> used  $\text{TiO}_2$  to cleave double-stranded DNA and Cai et al.<sup>12)</sup> used it as a cancer killing agent.

The spin-trapping technique, widely used for detection of short-lived radicals,<sup>13)</sup> has been used in the past decade to detect spin adducts in the photocatalytic reactions of semiconductor suspensions.<sup>14-18)</sup> Superoxide ion was detected by photoexcitation of  $\text{CdS}$  in aqueous media.<sup>14)</sup> Hydroxyl and hydroperoxyl radicals were detected by photoexcitation of anatase  $\text{TiO}_2$  in aqueous media using  $\alpha$ -phenyl-*N*-*t*-butylnitron (PBN) and 4-pyridylmethylethylamine *N,N'*-dioxide (4-POBN) as spin traps.<sup>15)</sup> Hydroxyl radical was also detected with rutile  $\text{TiO}_2$  in aqueous media using 5,5-dimethyl-1-pyrroline *N*-oxide (DMPO) as spin trap.<sup>17)</sup> However, the mechanism for the generation of active oxygen radicals from photoexcited semiconductors is not yet clear. We have carried out experiments that involve spin-trapping of intermediates produced during irradiation of powdery semiconductors in water and aqueous  $\text{H}_2\text{O}_2$  solutions, to examine the mechanism of active oxygen radical generation in the photocatalysis.

### Experimental

**Materials.** Powdery semiconductors [ $\text{TiO}_2$  (99.9%, rutile and anatase),  $\text{CdS}$  (practical grade),  $\text{WO}_3$  (99.5%), and  $\text{Fe}_2\text{O}_3$  (99.9%)] were obtained from Wako Pure Chemical Industries, Ltd. 5,5-Dimethyl-1-pyrroline *N*-oxide (DMPO) was purchased from Dojindo Laboratory. Hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 30% aqueous solution, Wako Pure Chemical Industries, Ltd), superoxide dismutase (SOD, Cu/Zn, Sigma) and ethanol (99.5%, Kanto Chemical Co., Inc) were also used in the experiments.

**Apparatus.** A flat, quartz ESR cell was used in all the experiments. A powdery semiconductor (1–5 mg) was mixed with 100  $\mu\text{l}$  of distilled water (or 0.1 mol  $\text{dm}^{-3}$   $\text{H}_2\text{O}_2$ ), 20  $\mu\text{l}$  of

ethanol (or distilled water) and 30  $\mu\text{l}$  of 0.46 mol  $\text{dm}^{-3}$  DMPO. The suspension was then fed into the ESR cell, which was inserted into the cavity of an ESR spectrometer (JEOL JES-RE3X). The sample was irradiated with a 500 W xenon lamp for 60 s. A 370-nm or 420-nm interference filter was used for illuminating  $\text{TiO}_2$  or other semiconductors, respectively, there by causing bandgap excitation but excluding the effect of short wavelength ultraviolet light. ESR measurements were conducted under the following conditions: magnetic field,  $336 \pm 5$  mT; field modulation, 0.1 mT; time constant, 0.03 or 0.1 s; receiver gain, 100 or 200; microwave power, 8 mW; sweep time, 5 mT  $\text{min}^{-1}$ .

### Results and Discussion

**Reaction on Powdery  $\text{TiO}_2$ .** ESR spectra were observed when  $\text{TiO}_2$  (anatase or rutile) was irradiated with photons of energy exceeding the bandgap energy (3.2 or 3.0 eV). Therefore, a 370-nm (3.3 eV) interference filter was used in the following experiments.

ESR spectra observed by excitation of the  $\text{TiO}_2$  (2 mg, anatase and rutile)/ $\text{H}_2\text{O}$ /DMPO system at room temperature are shown in Fig. 1. The spectrum of Fig. 1A is composed of signals from hydroxyl radical spin adducts in view of the hfsc values of  $a_N = a_H = 1.49$  mT.<sup>19)</sup> The spectrum of Fig. 1C is composed of signals from superoxide ion (weak signal) and hydroxyl radical spin adducts. Jaeger and Bard<sup>15)</sup> observed no ESR signal from rutile  $\text{TiO}_2$  by use of PBN and 4-POBN. However, PBN spin adducts of hydroxyl radical were observed for rutile  $\text{TiO}_2$  in our experiments. The cause for this discrepancy is not clear, but may be related to the particle size and preparation conditions. The signal intensity of hydroxyl radicals from anatase  $\text{TiO}_2$  was larger than that from rutile: This is line with a higher photocatalytic activity of the anatase.<sup>20,21)</sup> The DMPO spin adducts of superoxide ion were observed for rutile but not for anatase. This result may be related to the activity of the particle surface, and is to be substantiated by experiments under alkaline conditions described later.

Ethanol was added in these systems to confirm the production of free hydroxyl radical. Addition of

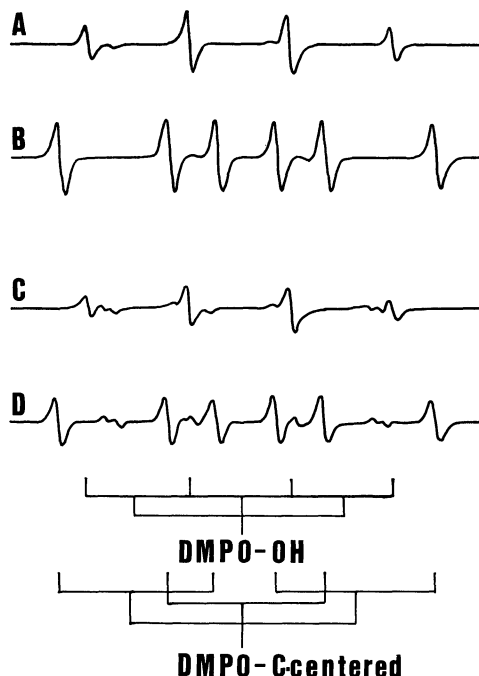
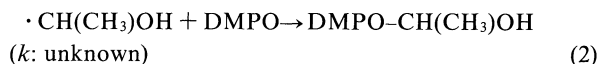
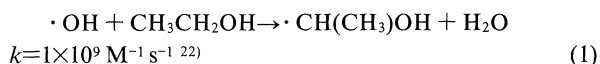
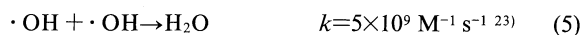
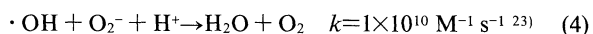


Fig. 1. ESR spectra of DMPO spin adducts obtained by 60-s irradiation of  $\text{TiO}_2$  aqueous suspensions. A: anatase, B: A+C $_2$ H $_5$ OH, C: rutile, D: C+C $_2$ H $_5$ OH, receiver gain:  $\times 100$ , irradiation wavelength: 370 nm.

ethanol quickly converted the hydroxyl radical into a carbon(C)-centered radical as seen in Figs. 1B and 1D. These spectra can be assigned to DMPO spin adducts of C-centered radicals with hfsc values of  $a_N=1.58$  mT and  $a_H^{\beta}=2.28$  mT.<sup>19)</sup> This evidences the production of free hydroxyl radical and the occurrence of the following reactions.



The signal intensity of DMPO-C-centered adducts was larger than that of DMPO-OH adducts. These results suggest that reactions (4) and (5) took place, because the rates of reactions (4) and (5) are larger than that of reaction (3).



ESR spectra from the  $\text{TiO}_2$  (2 mg)/0.01 mol dm $^{-3}$  NaOH/DMPO system are shown in Fig. 2. The alkaline conditions were used to lengthen the lifetime of superoxide ion in comparison with that in distilled

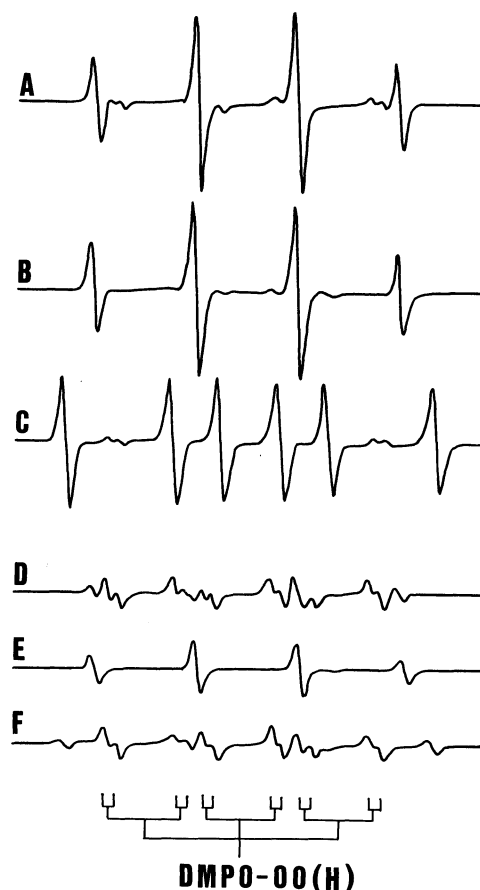


Fig. 2. ESR spectra of DMPO spin adducts obtained by 60-s irradiation of  $\text{TiO}_2$  aqueous suspensions containing 0.01 mol dm $^{-3}$  NaOH. A: anatase, B: A+SOD, C: A+C $_2$ H $_5$ OH, D: rutile, E: D+SOD, F: D+C $_2$ H $_5$ OH, receiver gain:  $\times 100$ (C),  $\times 200$  (others), irradiation wavelength: 370 nm.

water.<sup>23)</sup> The spectra of Figs. 2A and 2D are composed of signals from the hydroxyl radical and superoxide ion spin adducts. The DMPO spin adducts of superoxide ion can be so assigned with hfsc values of  $a_N=1.41$  mT,  $a_H^{\beta}=1.13$  mT, and  $a_H^{\gamma}=0.13$  mT.<sup>14)</sup> The spin adducts of superoxide ion disappeared on addition of superoxide dismutase (SOD, 300 units cm $^{-3}$ ) as shown in Figs. 2B and 2E. This evidences the generation of superoxide ion from dissolved oxygen in the  $\text{TiO}_2$  suspensions. Under alkaline conditions, the spin adducts of superoxide ion were detected with anatase  $\text{TiO}_2$  due to its longer lifetime than in distilled water. The difference between rutile and anatase may have arisen from the surface acidity. The pH of an anatase suspension was lower than that of a rutile suspension under these conditions. The semiconductor surface acidity may hence control the lifetime of superoxide ion. Furthermore, the crystal structure influenced the production of hydroxyl radicals under alkaline conditions. This difference is not clear, but may be also related to the surface acidity which is caused by the dissociation of surface OH bond.<sup>24)</sup> The spin adduct of a C-centered

radical was also observed on addition of ethanol. Under acidic conditions, the ESR signal intensity with rutile and anatase was slightly lower than those in Fig. 1. This suggests that the presence of proton promoted reaction (4).

ESR spectra for the  $\text{TiO}_2/0.077 \text{ mol dm}^{-3} \text{ H}_2\text{O}_2/\text{DMPO}$  system are shown in Fig. 3. The observed spin adducts are for hydroxyl and hydroperoxyl radicals, irrespective of the crystal structure.

The energy band structure of anatase  $\text{TiO}_2$  is illustrated in Fig. 4. Photoexcitation of  $\text{TiO}_2$  creates electron-hole pairs in the lattice. The potential for reduction of oxygen to superoxide ion lies below the conduction band edge of  $\text{TiO}_2$ , and the potential for

oxidation of  $\text{H}_2\text{O}$  to hydroxyl radical lies above the valence band edge. A photogenerated electron-hole pair is thus sufficiently energetic to produce superoxide ion and hydroxyl radical from  $\text{H}_2\text{O}$ . In the presence of  $\text{H}_2\text{O}_2$ , the potential for reduction of  $\text{H}_2\text{O}_2$  to hydroxyl radical lies below the conduction band edge of  $\text{TiO}_2$ , and the potential for oxidation of  $\text{H}_2\text{O}_2$  to the hydroperoxyl radical lies above the valence band edge. Therefore, hydroxyl and hydroperoxyl radicals can be easily generated in the presence of  $\text{H}_2\text{O}_2$ . To substantiate this, an experiment was carried out on the relation between the concentration of  $\text{H}_2\text{O}_2$  and the production of active oxygen radicals. An increase in the  $\text{H}_2\text{O}_2$  concentration indeed led to an increase in the spin adducts of hydroperoxyl radical. And an increase in the  $\text{H}_2\text{O}_2$  concentration up to  $1 \text{ mmol dm}^{-3}$  led to an increase in the spin adducts of hydroxyl radical, but an increase in the  $\text{H}_2\text{O}_2$  concentration over  $1 \text{ mmol dm}^{-3}$  led to no increase in the spin adducts of hydroxyl radical. That the hydroxyl radical is produced exclusively from the reduction of  $\text{H}_2\text{O}_2$  has been verified by use of  $^{17}\text{O}$ -labeled water at this concentration. Therefore, hydroxyl radical is produced from the reduction of  $\text{H}_2\text{O}_2$  by photo-generated electrons, and hydroperoxyl radical is produced from the oxidation of  $\text{H}_2\text{O}_2$  by photogenerated holes under these conditions.

**Reaction on Other Semiconductors.** The mechanism for the generation of active oxygen radicals would be clarified by experiments with various semiconductors having different energy band structures. ESR spectra were observed when powdery  $\text{CdS}$ ,  $\text{WO}_3$ , and  $\text{Fe}_2\text{O}_3$  were irradiated with photons of energy greater than the bandgap energy (2.4, 2.8, and 2.2 eV, respectively). The use of a 420-nm (3.0 eV) interference filter therefore ensures bandgap excitation for three semiconductors. ESR spectra from  $\text{CdS}$ ,  $\text{WO}_3$ , and  $\text{Fe}_2\text{O}_3$  aqueous suspensions are depicted in Fig. 5. The DMPO spin adduct of superoxide ion was observed in the  $\text{CdS}$  suspension, in line with the results of Harbour and

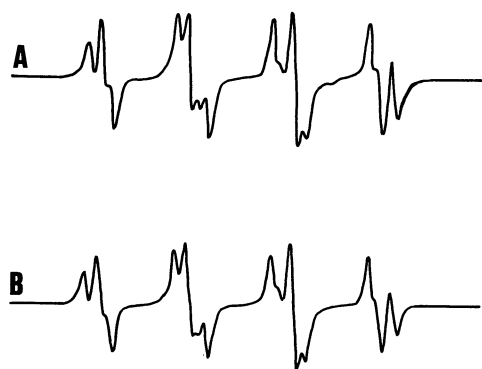


Fig. 3. ESR spectra of DMPO spin adducts obtained by 60-s irradiation of  $\text{TiO}_2$  aqueous suspensions containing  $0.1 \text{ mol dm}^{-3} \text{ H}_2\text{O}_2$ . A: anatase, B: rutile, receiver gain:  $\times 200$ , irradiation wavelength: 370 nm.

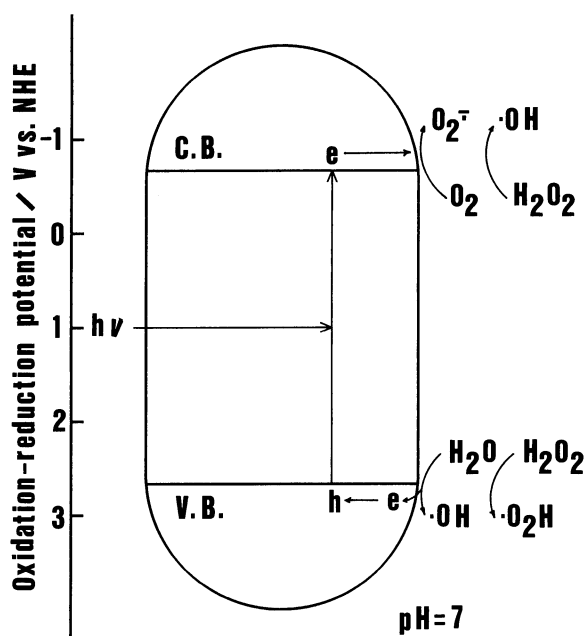


Fig. 4. Energy band structures of  $\text{TiO}_2$  (anatase) and the mechanism for the generation of active oxygen radicals in water and aqueous  $\text{H}_2\text{O}_2$  solutions.



Fig. 5. ESR spectra of DMPO spin adducts obtained by 60-s irradiation of aqueous suspensions of powdery semiconductors. A:  $\text{CdS}$ , B:  $\text{WO}_3$ , C:  $\text{Fe}_2\text{O}_3$ , receiver gain:  $\times 100$  (B),  $\times 200$  (others), irradiation wavelength: 420 nm.

Hair.<sup>14)</sup> The six line weak ESR signal come from dimethyl sulfoxide, which was added to avoid the influence of sulfide radicals produced by the action of photogenerated holes. No DMPO spin adduct of superoxide ion was detectable after addition of SOD. These results suggest that the potential for reduction of oxygen to superoxide ion lies below the conduction band edge of CdS, but the valence band edge is above the potential for oxidation of H<sub>2</sub>O to hydroxyl radical. Photogenerated holes are consumed for the decomposition of CdS.

The DMPO spin adducts of superoxide ion and hydroxyl radical were observed in WO<sub>3</sub> suspension. This is because the potential for reduction of oxygen to superoxide ion is close to the conduction band edge of WO<sub>3</sub>, and the potential for oxidation of H<sub>2</sub>O to hydroxyl radical is above the valence band edge. Addition of ethanol changed quickly the hydroxyl radical to a C-centered radical.

In Fe<sub>2</sub>O<sub>3</sub> suspensions, a very weak ESR signal was observed. This signal did not increased by irradiation, and is hence regarded as the background. Therefore, electron-hole pairs photogenerated in Fe<sub>2</sub>O<sub>3</sub> is not energetic enough to produce active oxygen radicals in water. No change in the signal intensity of DMPO spin adducts was noted for CdS, WO<sub>3</sub>, and Fe<sub>2</sub>O<sub>3</sub> suspensions in going from pure water to alkaline or acidic aqueous solutions.

ESR spectra from CdS, WO<sub>3</sub>, and Fe<sub>2</sub>O<sub>3</sub> suspensions in the presence of H<sub>2</sub>O<sub>2</sub> are given in Fig. 6. For CdS a weak ESR signal was observed. This signal did not increase by irradiation, and is hence regarded as the background. This may be because the decomposition potential of CdS is close to the potential for reduction of H<sub>2</sub>O<sub>2</sub>.<sup>25)</sup> In WO<sub>3</sub> and Fe<sub>2</sub>O<sub>3</sub> suspensions, the DMPO spin adducts of hydroxyl and hydroperoxyl radicals were observed since the redox potentials of active oxygen radicals lie between the valence band and conduction

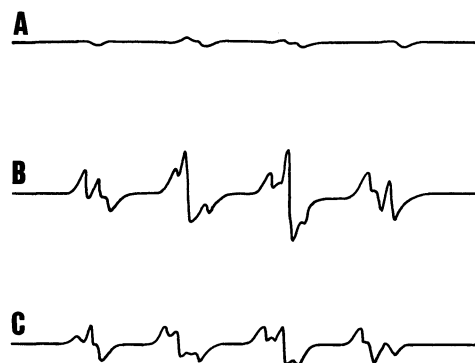


Fig. 6. ESR spectra of DMPO spin adducts obtained by 60-s irradiation of aqueous suspensions of powdery semiconductors in the presence of 0.1 mol dm<sup>-3</sup> H<sub>2</sub>O<sub>2</sub>. A: CdS, B: WO<sub>3</sub>, C: Fe<sub>2</sub>O<sub>3</sub>, receiver gain:  $\times 200$  (A),  $\times 100$  (others), irradiation wavelength: 420 nm.

band edges.

The energy band structures of semiconductors<sup>26)</sup> and redox potentials of active oxygen radicals<sup>14,15)</sup> are depicted in Fig. 7. The present results could be rationalized referring to this energy level diagram.

### Conclusions

The mechanism for the generation of active oxygen radicals from photoexcited semiconductor suspensions has been examined by use of the spin-trapping technique. The results are summarized as follows.

1. In water, superoxide ion is produced from the reduction of dissolved oxygen by photogenerated electrons, and hydroxyl radical is produced from the oxidation of water by photogenerated holes.
2. In the presence of H<sub>2</sub>O<sub>2</sub>, hydroxyl radical is produced from the reduction of H<sub>2</sub>O<sub>2</sub> by photogenerated electrons, and hydroperoxyl radical is produced from the oxidation of H<sub>2</sub>O<sub>2</sub> by photogenerated holes, as was

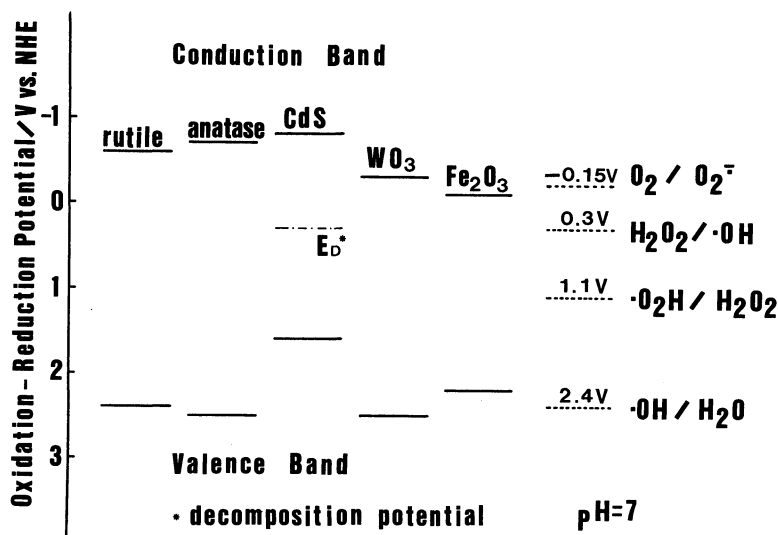


Fig. 7. Energy band structures of semiconductors and redox potentials of active oxygen radicals.

verified by examining the  $\text{H}_2\text{O}_2$  concentration dependence of ESR signals.

3. The generation of active oxygen radicals from powdery semiconductors in water and aqueous  $\text{H}_2\text{O}_2$  solutions can be rationalized in terms of the energy band structures of semiconductors and the redox potentials of active oxygen radicals.

The authors wish to express their thanks to Professor Akira Fujishima and Dr. Kazuhito Hashimoto, The University of Tokyo, for thier helpful discussions. This study was performed through Special Coordination Funds of the Science and Technology Agency and the Yamagata Technopolis Foundation.

#### References

- 1) M. Grätzel, *Ber. Bunsen-Ges. Phys. Chem.*, **84**, 981 (1980).
  - 2) T. Kawai and T. Sakata, *Nature*, **286**, 474 (1980).
  - 3) K. Kalyanasudaram, E. Borgarello, and M. Grätzel, *Helv. Chim. Acta*, **64**, 362 (1981).
  - 4) B. Anrian-Blajeni, M. Halmann, and J. Manasen, *Sol. Energy*, **25**, 165 (1980).
  - 5) G. N. Schrauzer and T. D. Guth, *J. Am. Chem. Soc.*, **99**, 7189 (1977).
  - 6) S. Sato and J. M. While, *Chem. Phys. Lett.*, **70**, 131 (1980).
  - 7) T. Kanno, T. Oguchi, H. Sakuragi, and K. Tokumaru, *Tetrahedron Lett.*, **21**, 467 (1980).
  - 8) I. Izumi, W. W. Dunn, K. O. Wilbourn, F. -R. F. Fan, and A. J. Bard, *J. Phys. Chem.*, **84**, 3207 (1980).
  - 9) H. Reiche and A. J. Bard, *J. Am. Chem. Soc.*, **101**, 3127 (1979).
  - 10) K. Hashimoto, T. Kawai, and T. Sakata, *J. Phys. Chem.*, **88**, 4083 (1984).
  - 11) H. Kamioka, M. Suzuki, E. Tamiya, and I. Karube, *J. Mol. Catal.*, **54**, 1 (1989).
  - 12) R. Cai, K. Hashimoto, K. Itoh, Y. Kubota, and A. Fujishima, *Bull. Chem. Soc. Jpn.*, **64**, 1268 (1991).
  - 13) E. G. Janzen, *Acc. Chem. Res.*, **4**, 31 (1971).
  - 14) J. R. Harbour and M. L. Hair, *J. Phys. Chem.*, **81**, 1791 (1977).
  - 15) C. D. Jaeger and A. J. Bard, *J. Phys. Chem.*, **83**, 3146 (1979).
  - 16) E. M. Cresa, L. Burlamacchi, and M. Visca, *J. Mater. Sci.*, **18**, 289 (1983).
  - 17) J. R. Harbour and M. L. Hair, *Adv. Colloid Interface Sci.*, **24**, 103 (1986).
  - 18) A. Maldotti, R. Amadelli, and V. Carassiti, *Can. J. Chem.*, **66**, 76 (1988).
  - 19) E. Finkelstein, G. M. Rosen, and E. J. Ranckman, *J. Am. Chem. Soc.*, **102**, 4994 (1980).
  - 20) S. Nishimoto, B. Ohtani, A. Sakamoto, and T. Kagiya, *Nippon Kagaku Kaishi*, **1984**, 246.
  - 21) H. Noda, K. Oikawa, T. Ogata, K. Matsuki, and H. Kamada, *Nippon Kagaku Kaishi*, **1986**, 1084.
  - 22) R. A. Greenwald, "Handbook of Method for Oxygen Radical Research," CRC Press, Florida (1985), p. 151.
  - 23) Nippon Kagaku Kai, Kikan Kagaku Sosetsu No. 7, "Kassei Sanso No Kagaku," Gakkai Shuppan Center, Tokyo (1990), p. 3.
  - 24) K. Kobayakawa, Y. Nakazawa, M. Ikeda, Y. Sato, and A. Fujishima, *Ber. Bunsen-Ges. Phys. Chem.*, **94**, 1438 (1990).
  - 25) T. Inoue, T. Watanabe, A. Fujishima, and K. Honda, *Bull. Chem. Soc. Jpn.*, **52**, 1243 (1979).
  - 26) Nippon Kagaku Kai, "Kagaku Sosetsu No. 39, Muki Kokagaku," Gakkai Shuppan Center, Tokyo (1983), p. 118.
-